



Attorney Docket No.: 74451.P106

## Patent

First Named Inventor: Kurt W. Piersol

**Check One:**

Declaration Submitted with Initial Filing OR  
 Declaration Submitted After Initial Filing (Surcharge under 37 C.F.R. § 1.16(e) Required).

**Complete If Known:**

Application No.: 09/439,152  
Filing Date: November 12, 1999  
Art Unit: 2622  
Examiner Name: Evans, Arthur G.

**DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION**

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**A SYSTEM FOR CAPTURING FACSIMILE DATA  
IN AN ELECTRONIC DOCUMENT MANAGEMENT SYSTEM**  
(Title of the Invention)

the specification of which

is attached hereto OR  
 was filed on (MM/DD/YYYY) 11/12/1999  
as United States Application Number 09/439,152  
or PCT International Application Number    
and was amended on (MM/DD/YYYY)   (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above.

I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application. I do not know and do not believe that the claimed invention was in public use or on sale in the United States of America more than one year prior to this application, nor do I know or believe that the invention has been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed?</u>		<u>Certified Copy Attached?</u>	
<u>(Number)</u>	<u>(Country)</u>	<u>(Foreign Filing Date - MM/DD/YYYY)</u>	<u>Yes</u>	<u>No</u>	<u>Yes</u>	<u>No</u>

**Appointment of Patent Practitioners:**

I hereby appoint the patent practitioners associated with the **Customer Number 08791** as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith.

If this patent application is assigned, then the undersigned hereby authorizes the patent attorneys and patent agents named herein to accept and follow instructions from the assignee(s) as to any action to be taken in the United States Patent and Trademark Office regarding this application without direct communication between the patent attorneys and patent agents and the undersigned. In the event of a change in the persons from whom instructions may be taken, at least one patent attorney or patent agent named herein will be so notified by the undersigned.

**Direct all correspondence to (check one):**

**Customer Number 08791 OR**

**Correspondence Address Below:**

(Name of Attorney or Agent)  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025 U.S.A.  
Telephone: (408) 720-8300  
Fax: (408) 720-8383

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR:**  A petition has been filed for this unsigned inventor

Full Name: Kurt W. Piersol  
(Given Name (First and Middle [if any]), Family Name (or Surname), and Suffix [if any])

Inventor's Signature  Date 2/18/2005

Residence Campbell, California, USA Citizenship USA  
(City, State, Country)

Mailing Address 1082 Cameo Drive  
Campbell, CA 95008

**NAME OF SECOND INVENTOR:**  A petition has been filed for this unsigned inventor

Full Name: Tatsuo Ito  
(Given Name (First and Middle [if any]), Family Name (or Surname), and Suffix [if any])

Inventor's Signature  Date 3/18/2005

Residence Sagamihara, Kanagawa, Japan Citizenship Japan  
(City, State, Country)

Mailing Address 4-12-7-403, Fuchinobe, Sagamihara  
Kanagawa, Japan 229-0006

**NAME OF THIRD INVENTOR:**  A petition has been filed for this unsigned inventor

Full Name: Hirosuke Kainuma  
(Given Name (First and Middle [if any]), Family Name (or Surname), and Suffix [if any])

Inventor's Signature  Date 3/18/2005

Residence Yokohama, Kanagawa, Japan Citizenship Japan  
(City, State, Country)

Mailing Address 22-239 Matsukasedai, Aobadai, Yokohama  
Kanagawa, Japan 227-0067

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of: )  
Kurt W. Piersol et al. )  
Application No.: 09/439,152 )  
Filing Date: November 12, 1999 )  
For: A SYSTEM FOR CAPTURING )  
FACSIMILE DATA IN AN )  
ELECTRONIC DOCUMENT )  
MANAGEMENT SYSTEM )  
\_\_\_\_\_  
)

Examiner: Arthur G. Evans

Art Unit: 2622

Confirmation No. 1294

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**ASSENT OF ASSIGNEE UNDER 37 C.F.R. §3.73(b)**  
**FOR CORRECTION OF INVENTORSHIP**

Dear Sir:

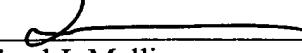
Attached please find a copy of the Recordation of Assignment document that is currently on file with the U.S. Patent and Trademark Office concerning the above-noted application. The Assignment document is being submitted to provide evidence of chain of title for this application.

Assignee, Ricoh Co., Ltd., having a place of business at 15-5 Minami Aoyama 1-Chome, Minato-Ku, Tokyo 107-8544, Japan, does hereby assent to the correction of inventorship, the petition for which is filed herewith, which seeks to add Hirosuke Kainuma as an additional inventor in the above-referenced application. The undersigned, Michael J.

Mallie, does hereby declare, under penalty of perjury, that he is authorized to act on behalf of Ricoh Co., Ltd. to make this Assent of Assignee for Correction of Inventorship.

Respectfully submitted,

3/20, 2005

  
Michael J. Mallie  
Attorney for Applicant  
Reg. No. 36,591



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MAY 12, 2005

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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 WILSHIRE BOULEVARD  
7TH FLOOR  
LOS ANGELES, CALIFORNIA 90025

MAY 22 2000

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

\*101296193A\*

74451 P106

MJM

*Rush Corporation*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/14/2000

REEL/FRAME: 010616/0121

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PIERSOL KURT W.

DOC DATE: 01/18/2000

ASSIGNOR:

ITO, TATSUO

DOC DATE: 01/17/2000

ASSIGNEE:

RICOH CO., LTD.  
15-5 MINAMI-AOYAMA 1-CHOME MINATO-  
KU  
TOKYO, JAPAN 107-8544

SERIAL NUMBER: 09439152

FILING DATE: 11/12/1999

PATENT NUMBER:

ISSUE DATE:

ENTERED

MAY 23 2000

STATUS DB-LA

010616/0121 PAGE 2

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

PATENT

03-22-2000

FEB 14 2000

RE



EET

2-14-00

101296193

TO: The Commissioner of Patents and Trademarks : Please record the attached original document(s) or copy(ies).

**Submission Type**

New  
 Resubmission (Non- Recordation)

Document ID # \_\_\_\_\_

Correction of PTO Error

Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Corrective Document

Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Attorney Docket No: 74451.P106

**Conveyance Type**

Assignment  Security Agreement  
 License  Change of Name  
 Merger  Other \_\_\_\_\_

*U.S. Government*

(For Use ONLY by U.S. Government Agencies)

Departmental File  Secret File

**Conveying Party (ies)**



Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name (1st party) Kurt W. Piersol

1/18/00

Name (2nd party) Tatsuo Ito

1/17/00

Name (3rd party) \_\_\_\_\_

Name (4th party) \_\_\_\_\_

**Receiving Party**



Mark if additional names of receiving parties attached



If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) Ricoh Co., Ltd.

Name (line 2) \_\_\_\_\_

Address (line 1) 15-5 Minami-Aoyama 1-Chome Minato-ku

Address (line 2) \_\_\_\_\_

Address (line 3) Tokyo Japan 107-8544  
City State/Country Zip Code

**Domestic Representative Name and Address**

(Complete only if receiving party is not domiciled in the United States)

Enter for the first Receiving Party only.

Name Ricoh Silicon Valley

Address (line 1) 2882 Sand Hill Road

Address (line 2) Suite 115

Address (line 3) Menlo Park, California 94025-7022

Address (line 4) \_\_\_\_\_

03/21/2000 DNGUYEN 00000012 09439152

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

## Correspondent Name and Address

Area Code and Telephone Number 408-720-8300

Name Blakely, Sokoloff, Taylor &amp; Zafman LLP

FEE 14 AM

Address (line 1) 12400 Wilshire Boulevard

Address (line 2) 7th Floor

Address (line 3) Los Angeles, California 90025

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document.

# 2

## Application Number (s) or Patent Number (s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number (s)

Patent Number (s)

09439152

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If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

## Patent Cooperation Treaty (PCT)

Enter PCT application number only if a  
U.S. Application Number has not been  
assigned.PCT \_\_\_\_\_ PCT \_\_\_\_\_ PCT \_\_\_\_\_  
PCT \_\_\_\_\_ PCT \_\_\_\_\_ PCT \_\_\_\_\_

## Number of Properties

Enter the total number of properties involved. # 1

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Deposit Account

Enclosed Deposit Account 

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 02-2666

Authorization to charge additional fees: Yes  No 

## Statement and Signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Michael J. Mallie

36,591



2/3/04

Name of Person Signing

Registration No.

Signature

Date

Attorney's Docket

A S S I G N M E N T

PATENT

No.: 74451.P106

(For Execution After Filing Patent Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, We,  
the undersigned, Kurt W. Piersol and Tatsuo Ito

hereby sell, assign, and transfer to Ricoh Co., Ltd.

a corporation of Japan, having a principal place of business at  
15-5 Minami-Aoyama 1-Chome Minato-ku, Tokyo 107-8544 Japan, ("Assignee"),  
and its successors, assigns, and legal representatives, the entire right, title, and interest for the  
United States and all foreign countries, in and to any and all improvements that are disclosed in  
the application for the United States patent that was filed November 12, 1999 and assigned  
Application No. 09/439,152 and is entitled

"A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT  
MANAGEMENT SYSTEM"

and in and to said application and all divisional applications, continuation applications,  
continued prosecution applications, continuation-in-part applications, substitute applications,  
renewal applications, reissue applications, reexaminations, extensions, and all other patent  
applications that have been or shall be filed in the United States and all foreign countries on  
any of said improvements; and in and to all original patents, reissued patents, reexamination  
certificates, and extensions that have been or shall be issued in the United States and all  
foreign countries on said improvements; and in and to all rights of priority resulting from the  
filing of said United States application;

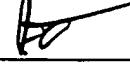
agree that said Assignee may apply for and receive a patent or patents for said  
improvements in its own name; and that, when requested, without charge to, but at the  
expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in  
good faith the intent and purpose of this Assignment, the undersigned will execute all divisional  
applications, continuation applications, continued prosecution applications, continuation-in-part  
applications, substitute applications, renewal applications, reissue applications, reexaminations,  
extensions, and all other patent applications on any and all said improvements; execute all  
rightful oaths, assignments, powers of attorney, and other papers; communicate to said  
Assignee, its successors, assigns, and representatives all facts known to the undersigned  
relating to said improvements and the history thereof; and generally assist said Assignee, its  
successors, assigns, or representatives in securing and maintaining proper patent protection  
for said improvements and for vesting title to said improvements, and all applications for  
patents and all patents on said improvements, in said Assignee, its successors, assigns, and  
legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no  
assignment, grant, mortgage, license, or other agreement affecting the rights and property  
herein conveyed has been made to others by the undersigned, and that full right to convey the  
same as herein expressed is possessed by the undersigned.

Date: January 18, 2000

  
Name: Kurt W. Piersol

Date: January 17, 2000

  
Name: Tatsuo Ito

Date: \_\_\_\_\_, 19 \_\_\_\_  
Name: \_\_\_\_\_

State of: \_\_\_\_\_ } Assignment Document Return Address:  
County of: \_\_\_\_\_ } SS. BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 Wilshire Blvd., Seventh Floor  
Los Angeles, California 90025-1026  
(408) 720-8598

On this \_\_\_\_\_ day of \_\_\_\_\_ 19 \_\_, before me, \_\_\_\_\_,  
the undersigned Notary Public, personally appeared \_\_\_\_\_

[ ] personally known to me [ ] proved to me on the basis of satisfactory evidence to  
be the person(s) whose name(s) subscribed to the within instrument, and  
acknowledged that \_executed it.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary's Signature



Attorney Docket No.: 74451.P106

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Kurt W. Piersol et al. )  
Application No.: 09/439,152 )  
Filing Date: November 12, 1999 )  
For: A SYSTEM FOR CAPTURING )  
FACSIMILE DATA IN AN )  
ELECTRONIC DOCUMENT )  
MANAGEMENT SYSTEM )  
\_\_\_\_\_  
)

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT OF FACTS  
BY HIROSUKE KAINUMA UNDER 37 C.F.R. §1.48(a)

Dear Sir:

I hereby declare:

1. I am making this Statement of Facts under 37 C.F.R. §1.48(a) in connection with U.S. Patent Application Serial No. 09/439,152, filed November 12, 1999 (hereinafter referred to as "the present patent application").
2. I am a citizen of Japan, having a residence address of 22-239 Matsukasedai, Aobadai, Yokohama, Kanagawa, Japan 227-0067.
3. The error was made by naming only Kurt W. Piersol and Tatsuo Ito as joint inventors, rather than naming Kurt W. Piersol, Tatsuo Ito, and Hirosuke Kainuma.
4. The inventorship error was made without any deceptive intent whatsoever by anyone.
5. It is now requested that the additional inventor, Hirosuke Kainuma, be added to the present patent application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 18, March, 2005



Hirosuke Kainuma